



#3

Declaration for Patent Application

Docket Number: 1531.015000H (as amended)

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled: **System, Method and Computer Program Product for Knowledge Management**

the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on May 4, 2001
as United States Application Number or PCT International Application Number 09/848,437; and
was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information that became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or § 365(a) of any PCT international application, which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

(Application No.)_____
(Country)_____
(Day/Month/Year Filed)☐ Yes ☐ No_____
(Application No.)_____
(Country)_____
(Day/Month/Year Filed)☐ Yes ☐ No

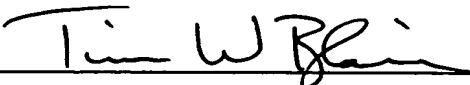
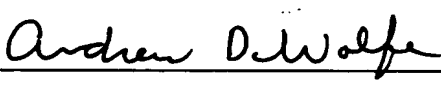

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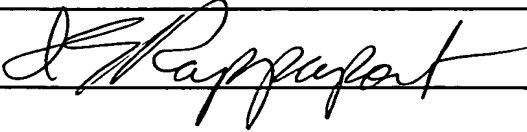
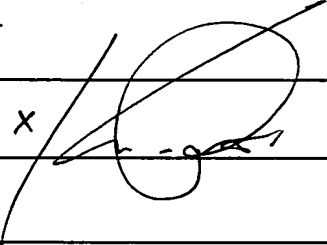
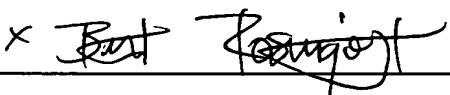
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934

Direct Telephone Calls to:

(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Tim W. Blair
Signature of sole or first inventor x  x 8-27-01 ^{Date}
Residence Mountain View, CA
Citizenship U.S.A.
Mailing Address 2250 Latham Street, No. 21, Mountain View, CA 94040
Full name of second inventor Andrew S. DeWolfe /
Signature of second inventor x  x 8/27/2001 ^{Date}
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Citizenship Canadian
Mailing Address 947 Willowleaf Dr., San Jose, CA 95128
Full name of third inventor Paul B. Germeraad
Signature of third inventor x  x 8/27/01 ^{Date}
Residence Saratoga, CA
Citizenship U.S.A.
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Full name of fourth inventor Irving S. Rappaport	
Signature of fourth inventor	 8/27/01 Date
Residence Palo Alto, CA	
Citizenship U.S.A.	
Mailing Address 1500 Edgewood Drive, Palo Alto, CA 94301	
Full name of fifth inventor Kevin G. Rivette	
Signature of fifth inventor X	 X 8/29/01 Date
Residence Palo Alto, CA	
Citizenship U.S.A.	
Mailing Address 2165 Waverly Street, Palo Alto, CA 94301	
Full name of sixth inventor Brent Rosenquist	
Signature of sixth inventor X	 X 8/28/01 Date
Residence Sunnyvale, CA	
Citizenship U.S.A.	
Mailing Address 707 Continental Circle #2116, Mountain View, CA 94040	

POWER OF ATTORNEY FROM ASSIGNEE

8/27/01
Aurigin Systems, Inc., a corporation of California, having a principal place of business at 10710 North Tantau Avenue, Cupertino, CA 95014-0717, is assignee of the entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100), by reason of an Assignment to the Assignee executed on (1) 8/27/01; (2) 8/27/01; (3) 8/27/01; (4) 8/27/01; (5) 8/29/01; and (6) 8/28/01 of an invention known as System, Method and Computer Program Product for Knowledge Management (Attorney Docket No. 1531.015000H (as amended)), which is disclosed and claimed in a patent application of the same title by the inventor(s) (1) Tim W. Blair; (2) Andrew S. DeWolfe; (3) Paul B. Germeraad; (4) Irving S. Rappaport; (5) Kevin G. Rivette; and (6) Brent Rosenquist, (said application filed on May 4, 2001 at the U.S. Patent and Trademark Office, having Application Number 09/848,437).

The Assignee hereby appoint the U.S. attorneys associated with CUSTOMER NUMBER 28393 to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith, such attorneys currently being: Robert Greene Sterne, Esq., Reg. No. 28,912; Edward J. Kessler, Esq., Reg. No. 25,688; Jorge A. Goldstein, Esq., Reg. No. 29,021; David K.S. Cornwell, Esq., Reg. No. 31,944; Robert W. Esmond, Esq., Reg. No. 32,893; Tracy-Gene G. Durkin, Esq., Reg. No. 32,831; Michele A. Cimbala, Esq., Reg. No. 33,851; Michael B. Ray, Esq., Reg. No. 33,997; Robert E. Sokohl, Esq., Reg. No. 36,013; Eric K. Steffe, Esq., Reg. No. 36,688; Michael Q. Lee, Esq., Reg. No. 35,239; Steven R. Ludwig, Esq., Reg. No. 36,203; John M. Covert, Esq., Reg. No. 38,759; and Linda E. Alcorn, Esq., Reg. No. 39,588. The Assignee hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

Send correspondence to:

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1100 New York Avenue, N.W.
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U.S.A.

Direct phone calls to 202-371-2600.

FOR: AURIGIN SYSTEMS, INC.
SIGNATURE: IS Rappaport
BY: IRVING S. RAPPAPOORT
TITLE: VICE PRESIDENT INTELLECTUAL PROPERTY
DATE: 8/30/01

Certificate Under 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: Blair et al.

Application No./Patent No.: 09/848,437 Filed/Issue Date: May 4, 2001

Entitled: **System, Method and Computer Program Product for Knowledge Management**

Aurigin Systems, Inc., a corporation,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: 8/30/01

Name: IRVING S. RAPPAPORT

Title: VICE PRESIDENT INTELLECTUAL PROPERTY

Signature: [Signature]

Statement Claiming Small Entity Status
(37 C.F.R. §§ 1.9(d) and 1.27(c)) – Small Business Concern

Applicant, Patentee or Identifier: Blair et al.
Appl. or Patent No.: 09/848,437 Attorney Docket No. 1531.015000H (as amended)
Filed or Issued: May 4, 2001
Title: System, Method and Computer Program Product for Knowledge Management

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Aurigin Systems, Inc.
ADDRESS OF SMALL BUSINESS CONCERN 10710 North Tantau Avenue, Cupertino, CA 95014-0717

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate statements indicating their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization having any rights in the invention (other than the small business concern named above) is listed below:

- ☐ no such person, concern, or organization exists.
☐ each person, concern, or organization is listed below.

NAME _____

ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

Separate statements are required from each named person, concern or organization having rights to the invention asserting to their status as small entities. (37 C.F.R. § 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

NAME OF PERSON SIGNING IRVING S. RAPPAPORT
TITLE OF PERSON IF OTHER THAN OWNER VICE PRESIDENT INTELLECTUAL PROPERTY
ADDRESS OF PERSON SIGNING 10710 N. TANTAU AVE., CUPERTINO, CA 95014
SIGNATURE [Signature] DATE 8/30/01



#3

In re application of:

Blair *et al.*

Appl. No. 09/848,437

Filed: May 4, 2001

For: **System, Method and Computer
Program Product for Knowledge
Management**

Confirmation No. 7818

Art Unit: 2176

Examiner: (*to be assigned*)

Atty. Docket: 1531.015000H
(*as amended*)

Request For Change of Attorney Docket Number

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby request that the Attorney Docket Number for the above-captioned application be changed to - - 1531.015000H - -.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael Q. Lee
Attorney for Applicant
Registration No. 35,239

Date: 8/30/01

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MQL/sjc
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